From quantum tunneling in a topology-changing fermionic bath to topological quantum superpositions

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In planar Josephson Majorana systems, the superconducting phase difference φ determines the topology [1 - 4].
Coulomb effects: φ becomes a quantum variable.

• Coulomb effects: ϕ becomes a quantum variable.

⇒ Prospect of *quantum tunneling* between two topologically distinct phases.

• Tunneling is suppressed by the many-fermion bath changing its topology, which we quantify using instanton methods.

• Suppression is *incomplete* - can in principle generate a *superposition of Majoranas and no Majoranas*.

Instanton Method [5] $Z = \int \mathcal{D}\phi \mathcal{D}c \mathcal{D}\bar{c} \ e^{-S} \qquad \text{Integrate out}$ $S = \frac{1}{2} \int_{0}^{\beta} d\tau \left[\frac{1}{8E_{\text{C}}} \left(\partial_{\tau} \phi_{\tau} \right)^{2} + i N_{g} (\partial_{\tau} \phi_{\tau}) + \bar{\Psi}^{T} (\partial_{\tau} + \mathcal{H}_{\phi_{\tau}}) \Psi \right]$

- Z dominated by saddle points of the action, where ϕ_{τ} is a sequence of π phase slips, known as *instantons*.
- Integrating out the fermions generates an effective (non-local) potential felt by ϕ .
 - $U_{\rm f}[\phi_{\tau}] \equiv -\frac{1}{2} \log \det \left[\partial_{\tau} + \mathcal{H}_{\phi_{\tau}}\right] \quad \{\sigma_1, \mathcal{H}_{\phi}\} = 0$

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arXiv 2112:14280

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Ground state energy has two equal depth minima (1 topological, 1 trivial phase):



 $\det \left[\partial_{\tau} + \mathcal{H}_{\phi_{\tau}}\right] = \det \left[i\sigma_{1}\partial_{\tau} + i\sigma_{1}\mathcal{H}_{\phi_{\tau}}\right] \equiv \det \left[\tilde{\mathcal{H}}_{\phi_{\tau}}\right]$

- The product of energies of $\tilde{\mathcal{H}}_{\phi_{\tau}}$ with τ as an extra spatial dimension. \Rightarrow Each instanton is a topological phase boundary of a 2D Class D superconductor, hosting sub-gap chiral modes.
- These edge states at the core of fermionic tunneling suppression.



• The *L* sub-gap states for each instanton are guaranteed by



• Add charging energy term due to intrinsic capacitance, reminiscent of Cooper-pair box with canonically conjugate charge and phase: think of ϕ as *position*, and *N* as *momentum*.

$$H = \frac{E_{C}(N - N_{g})^{2}}{\sum_{k} \Psi_{k}^{\dagger} \mathcal{H}_{\phi}(k) \Psi_{k}}$$

"Kinetic Energy" $[\phi, N] = 2i$ "Potential Energy"

topology: even a perfectly sharp instanton has a potential energy action cost $\propto L$, unlike a scalar potential.

 $\frac{\det \left[\partial_{\tau} + \mathcal{H}_{\phi_{2i}}\right]}{\det \left[\partial_{\tau} + \mathcal{H}_{\phi_{0i}}\right]} = \left[\prod_{n=0}^{L-1} \sin \left(k_n/2\right)\right]^2 = 2^{-2(L-1)}$

• Sharp instanton picture captures essence of more refined timeordered exponential method.

Results

Topology-changing fermions suppress the tunneling amplitude compared to a naïve Josephson potential V_φ in Fig. B.
Sharp instanton limit gives upper bound on suppression:

 $t_{0\to\pi}^{(f)} \ge e^{-\frac{1}{2}(L-1)\log 2} t_{0\to\pi}^{(n)}$

- Suppression is weaker for smaller E_C because instantons have a longer timescale, approaching adiabaticity (i.e., naïve V_φ physics).
 Fermionic topology never blocks tunneling completely.
- ⇒ Superposition of two seemingly mutually exclusive possibilities: Majoranas being both present and absent!



- Effective model captures crucial ingredient that ϕ is coupled to a many-fermion system.
- For isolated potential wells, state of φ would be harmonic-oscillator-like, with fermions either topological or trivial.
 Wells *not* isolated ⇒ tunneling amplitude t^(f)_{0→π} ≠ 0 between topologically distinct minima!
 Evaluating t^(f)_{0→π} difficult: fermionic part of the wavefunction changes with φ.
- Requires *L* short enough to have tunneling, but long enough relative to the width of Majorana bound states (set independently from $t_{0\to\pi}^{(f)}$) to suppress Majoranas splitting.

References

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Fig. D

Fig. C